

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of: Ping ZHOU et al.  
Application No.: Group No.:  
Filed: Examiner:  
For: HETEROCYCLYLINDAZOLE AND -AZAINDAZOLE  
COMPOUNDS AS 5-HYDROXYTRYPTAMINE-6 LIGANDS  
Confirmation No.:  
Customer Number: 25291

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

PRELIMINARY AMENDMENT

Prior to consideration of the above-identified Application on the merits, please amend the above-identified application as follows and consider the following remarks.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims, which begins on page 3 of this paper.

Please note that this amendment conforms to the practice as set forth in revised 37 CFR 1.121.

Remarks begin on page 19 of this paper.

**CERTIFICATE OF MAILING 37 CFR §1.10**

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV243963435US addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

October 23, 2003  
Date

Carole K. Kamrad  
Carole K. Kamrad